



Sheet 1 of 2

			U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			File No. 00-96		Serial No. 09/997,610		
INFORMAT	E STATEME	Applicant(s): Brian A. Fox, James L. Holloway								
						Filing Date: November 29, 2001		Group: 1653		
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	Name		CLASS	SUBCLASS		FILING DATE IF APPROPRIATE	
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FOREIGN PATENT DOCUMENTS										
EXAMINER INITIAL	A 1	DOCUMENT NUMBER	DATE	COUNTRY		CLASS			FILING DATE IF APPROPRIATE	
55	A1	WO 02/55694	07/18/02	WIPO						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)										
55	A2	Gen [onl http	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus TMSHP20A, Accession No. D12974, "Tamias asiaticus mRNA for HP-20, complete cds," [online], Bethesda, MD [retrieved on December 3, 2002]. Retrieved from the Internet: <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=nucleotide&amp;list_uids=287467&amp;dopt=GenBank">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=nucleotide&amp;list_uids=287467&amp;dopt=GenBank</a> , 2 pages.							
55	A3	Gen chip http	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus A48150, Accession No. A48150, "Hibernation-related protein HP-20 precursor - Siberian chipmunk," [online], Bethesda, MD [retrieved on December 3, 2002]. Retrieved from the Internet: <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=nucleotide&amp;list_uids=2302012&amp;dopt=GenBank">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=nucleotide&amp;list_uids=2302012&amp;dopt=GenBank</a> , 2 pages.							
\$	A4	Gen (Hit 200 Inte	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus Q06575, Accession No. Q06575, "Hibernation-associated plasma protein HP-20 precursor (Hibernator-specific blood complex, 20 kDa subunit)," [online], Bethesda, MD [retrieved on December 3, 2002]. Retrieved from the Internet: <a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=protein&amp;list_uids=1170339&amp;dopt=GenPept">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&amp;db=protein&amp;list_uids=1170339&amp;dopt=GenPept</a> , 2 pages.							
Examiner Date considered 2/15/03										
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Sheet  $\underline{2}$  of  $\underline{2}$ PADEMAN File No. 00-96 Serial No. U.S. DEPARTMENT OF COMMERCE **FORM PTO 1449** 09/997,610 PATENT AND TRADEMARK OFFICE (REV 2-32) INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s): Brian A. Fox, James L. Holloway Filing Date: Group: 1653 November 29, 2001 U.S. PATENT DOCUMENTS FILING DATE IF EXAMINER DOCUMENT INITIAL NUMBER CLASS SUBCLASS APPROPRIATE DATE NAME FOREIGN PATENT DOCUMENTS FILING DATE IF EXAMINER DOCUMENT INITIAL NUMBER DATE COUNTRY CLASS SUBCLASS APPROPRIATE OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.) National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus AK024120, Accession No. AK024120, "Homo sapiens cDNA FLJ14058 fis, clone HEMBB1000554," [online], Bethesda, MD [retrieved on December 3, 2002]. Retrieved from the Internet:<a href="http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&db=nucleotide&list\_uids=10436423">http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&db=nucleotide&list\_uids=10436423</a> &dopt=GenBank >, 2 pages. Scherer et al., "A Novel Serum Protein Similar to C1q, Produced Exclusively in Adipocytes," J Biol Chem. **A6** 1995 Nov 10;270(45):26746-9. Sellar et al., " Characterization and organization of the genes encoding the A-, B- and C-chains of human complement subcomponent C1q. The complete derived amino acid sequence of human C1q," Biochem J. 1991 Mar 1;274 (Pt 2):481-90. Shapiro et al., "The crystal structure of a complement-1q family protein suggests an evolutionary link to tumor necrosis factor," Curr Biol. 1998 Mar 12;8(6):335-8. A8 Examiner Date considered EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to

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